

# FACTS

## WHAT DOES EXETER FINANCIAL, LLC DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and financial information
- Investment holdings and investment experience
- Financial goals and financial history

When you are no longer our customer, we continue to share your information as described in this notice.

### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Private Advisory Group chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Exeter Financial, LLC share? | Can you limit this sharing? |
|--|-----------------------------------|-----------------------------|
| <b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                               | No                          |
| <b>For our marketing purposes—</b> to offer our products and services to you   | Yes                               | No                          |
| <b>For joint marketing with other financial companies</b>  | No                                | We don't share              |
| <b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences   | Yes                               | No                          |
| <b>For our affiliates' everyday business purposes—</b> information about your creditworthiness   | No                                | We don't share              |
| <b>For non-affiliates to market to you</b>   | No                                | We don't share              |

### Questions?

Call 480 588-0830 or visit [www.exeterfinancial.com](http://www.exeterfinancial.com)

## Who we are

**Who is providing this notice?**

Exeter Financial, LLC

## What we do

**How does Exeter Financial, LLC protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.

**How does Exeter Financial, LLC collect my personal information?**

We collect your personal information, for example, when you

- Open an account or deposit funds.
- Provide account information or give us your income information.

**Why can't I limit all sharing?**

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Alkeme, Inc.

**Non-affiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Our non-affiliated business partners include Charles Schwab & Co, Inc., Millennium Trust Co., Equity (Institutional) Trust Company, and Vantage IRAs.

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- Exeter Financial, LLC does not jointly market

## Other important information

You may have privacy protections under applicable state laws, including those for California residents. To the extent the state laws apply, we will comply with them when we share information about you, and in some case may be limited by you.

### *California Residents:*

The California Information Privacy Act provides additional protections to control whether we share some of your personal information. In accordance with California law, we will not share information we collect about California residents with outside companies, except as permitted by law, such as with the consent of the customer or to service a customer's accounts.